



A. THOMAS TENENBAUM
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Career Highlights

- Seasoned litigator with specific experience in complex commercial litigation, business law, and securities regulatory defense litigation.
- Four decades of legal practice and former trial attorney with the U.S. Securities and Exchange Commission.
- Outside counsel to a variety of sophisticated businesses and Fortune 500 corporations.
- Represented individuals and companies successfully in investigations and trials before the SEC, FINRA, regulatory organizations, as well as federal and state courts.

Tom Tenenbaum is one of the premier securities defense attorneys and commercial litigators in Colorado. He is the founder of Tenenbaum Law, a business law, securities law, and litigation firm with a national practice based in Denver. To each matter that he works on, Tom brings four decades of experience in the complex areas of business and securities law transactions and litigation. He augments his legal practice with expert witness engagements, academic lecturing, and commenting on securities and corporate matters.

EDUCATION

- J.D., Fordham University, 1976
 - American Jurisprudence Award
- B.A., Fordham University, 1973
 - *Magna Cum Laude*

ADMISSIONS

- New York, 1976
- Colorado, 1981
- U.S. District Court for the Southern District of New York
- U.S. District Court for the District of Colorado
- U.S. Court of Appeals, 2nd Circuit

PROFESSIONAL & BAR ASSOCIATION MEMBERSHIPS

- American Bar Association
 - Member, Committee on Corporate Governance
 - Member- Corporate, Banking and Business Law Sections
- Colorado Bar Association
- Denver Bar Association
 - Member- Securities Section
- SEC Alumni Association

HONORS & AWARDS

- AV Preeminent Peer Review Rated (5.0/5.0), Martindale-Hubbell (1991-present)
- 8.4/10, AVVO
- Denver's Top Lawyers, 5280 Magazine (2013-2015)

PUBLICATIONS & PRESENTATIONS

- Lecturer, "Securities Private Placements," University of Denver Sturm College of Law (2013)
- Lecturer, "How to Respond to SEC Subpoena," Denver Bar Association (1992)
- Lecturer, "Federal Securities Registration," Colorado Bar Association (1990)